



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>A61F 2/06 // 7/12, A61M 31/00</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 99/35999</b> <b>(43) International Publication Date:</b> 22 July 1999 (22.07.99)
<b>(21) International Application Number:</b> PCT/SE98/02446 <b>(22) International Filing Date:</b> 23 December 1998 (23.12.98) <b>(30) Priority Data:</b> 9704906-8                      30 December 1997 (30.12.97)      SE <b>(71) Applicant (for all designated States except US):</b> SUN- NANVÄDER, Lars [SE/DE]; Siberburg Strasse 6, D-72379 Hechingen (DE). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> CLARÉN, Jan [SE/SE]; Protokollgränden 38, S-226 47 Lund (SE). STEEN, Stig [SE/SE]; Korsåkersvägen 11, S-226 50 Lund (SE). <b>(74) Agents:</b> STRÖM, Tore et al.; Ström & Gulliksson AB, P.O. Box 4188, S-203 13 Malmö (SE).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i> <i>In English translation (filed in Swedish).</i>
<b>(54) Title:</b> DEVICE FOR THERAPEUTICAL TREATMENT OF A BLOOD VESSEL		
<b>(57) Abstract</b> <p>The invention relates to a device for therapeutical treatment of a blood vessel. It comprises in combination a stent, a balloon catheter for the insertion of the stent into a blood vessel, and a generator for generating ultrasound. The stent can be expanded radially and is of such construction that it is caused to vibrate and/or develop heat when exposed to ultrasound. Inserted into the blood vessel the stent can be expanded by means of the balloon catheter to engage the inside surface of the blood vessel in order to be left in the expanded condition as an inside lining in the blood vessel after the balloon catheter having been withdrawn from the blood vessel. Energy is transferred wirelessly by means of ultrasound generated by the generator, from an extra-corporeal position to the stent as located in the blood vessel engaging the inside surface thereof.</p> <div style="text-align: center;"> </div>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

# Device for therapeutical treatment of a blood vessel

The invention relates to a device for therapeutical  
5 treatment of a blood vessel.

US-A-5 078 736 describes a stent which can be  
introduced into a blood vessel by means of a balloon  
catheter and which can be expanded radially to engage the  
inside surface of the blood vessel in order that the stent  
10 after withdrawal of the balloon catheter from the blood  
vessel will be left in the expanded condition thereof as an  
inside lining in the blood vessel. The stent comprises an  
electrically conducting socket which can be heated when  
located in the blood vessel, by means of an extra-  
15 corporeally located power source in order to provide an  
intended therapeutic effect in the blood vessel.

In one embodiment this heating is effected  
inductively i.e. without wire connection between the stent  
and the power source. Since the stent has a small mass a  
20 powerful coil is, however, required for heating the stent  
to the necessary temperature inductively and it may even be  
necessary to allow the induction coil to operate at such a  
high power that water cooling thereof may be necessary,  
which makes the device expensive in manufacture and also  
25 cumbersome in use.

The purpose of the invention is to provide a much  
more easily handled device for wireless external influence  
on the stent, and for this purpose there is proposed  
according to the invention a device of the kind referred to  
30 above with the characterizing features of claim 1.

The invention also relates to a method for  
therapeutic treatment of a blood vessel comprising the  
steps of inserting a stent into the blood vessel by means  
of a balloon catheter, expanding the stent by means of the  
35 balloon catheter to engagement with the inside surface of  
the blood vessel, withdrawing the balloon catheter from the

blood vessel, the expanded stent being left in the blood vessel in engagement with the inside surface thereof, and exposing the stent left in the blood vessel to an extra-corporeally generated ultra-sound field in order to provide  
5 a therapeutical effect in the blood vessel in the region of the stent.

In order to explain the invention in more detail an embodiment thereof will be described below reference being made to the accompanying drawings in which  
10 FIG. 1 is a partly broken away perspective view of the stent forming part of the device according to the invention,  
FIG. 2 is a side view of a balloon catheter forming part of the device and having a guide wire, and the stent said  
15 elements being shown separated,  
FIG. 3 is a side view of the balloon catheter with the stent applied to the catheter for insertion into a blood vessel,  
FIG. 4 is a side view of the balloon catheter under  
20 expansion of the balloon and the stent applied on the balloon,  
FIG. 5 is a side view with portions broken away of the stent inserted into the blood vessel,  
FIG. 6 is an enlarged fragmentary cross-sectional view of  
25 the blood vessel and the stent inserted therein, and  
FIG. 7 is a fragmentary view of a human body with the stent inserted into a coronary vessel the stent being affected by an ultrasound field generated extra-corporeally by the generator forming part of the device.

30 The stent disclosed in FIG. 1 is indicated generally with 10 and comprises a socket 11 of such kind that it can be expanded radially. The socket can comprise a netting or an axially slotted socket, and it can be made of metal, e.g. stainless steel, or of plastic, e.g. a polymer. If the  
35 socket is made of plastic this can be cross-linked so that

the plastic has a memory by which it assumes, at heating, a larger diameter than that it had before heating. The socket can have a length of the order of 0.5-10 cm, an inside diameter of the order of 1.0-15.0 mm, and a wall thickness  
5 of the order of a tenth mm or two. The diameters have to be adjusted to the blood vessel wherein the stent is to be used. An expandable heat insulating cover 12 is provided on the outside of the socket 11, and this cover can consist of plastic, silicon rubber, graft, or the like. The stent can  
10 also be coated on the outside thereof and/or on the inside thereof with silicon rubber or graft, possibly with carbon powder (carbon black) mixed therewith.

For application of the stent a balloon catheter according to FIGS. 2-4 is used, which comprises a stem 13  
15 having three lumen one receiving a guide wire 14 and the other two supplying and draining a liquid, e.g. a salt solution, to and from, respectively, a balloon 15 at one end of the stem. The liquid can be heated and can be supplied under pressure by means of a syringe 16 connected  
20 to the other end of the stem, FIG. 4. The stent 10 is located on the outside of the balloon when the balloon is collapsed, FIG. 3, and is inserted by means of the balloon catheter in a known manner into a blood vessel. When the stent is positioned at the intended site in the blood  
25 vessel the balloon is put under pressure by pumping liquid, possibly heated, into the balloon under radial expansion of the stent so that the cover 12 thereof will engage the inside surface of the blood vessel. The stent is of such kind that this expansion of the stent provides a permanent  
30 change of the shape of the stent so that the stent when the balloon is then collapsed by draining liquid therefrom and the balloon catheter thereafter is withdrawn from the blood vessel will remain in the blood vessel in the manner shown in FIGS. 5 and 6, engaging the inside surface of the wall

of the blood vessel. The stent thus forms a lining in the blood vessel.

The stent is of such kind that it can function as target and receiver of energy generated extra-corporeally as ultrasound in order that the stent will be affected in some way for example in order to develop heat, to vibrate, to change size, to release a substance etc.

According to FIG. 7 the stent 10 is inserted into a coronary vessel and ultrasound energy is supplied to the stent from an extra-corporeally located ultrasound generator 17. The stent may not or should not be metallic in this case. It is most favourable if the stent is made of a material having an acoustic impedance which is the same as the impedance of the surrounding tissue (the wall of the vessel) the material of the stent at the same time providing a great attenuation of the ultrasound. All ultrasound from the generator 17 arriving at the stent will pass into the stent, and when the ultrasound is attenuated in the material of the stent the ultrasound energy will be converted into heat. There is also a possibility that the interface between the stent and the tissue will be heated if the acoustic impedance of the stent and the acoustic impedance of the tissue are slightly different. However, the heat conductivity of the stent in that case must differ from that of the tissue. The effect provided by the ultrasound is dependent of the frequency of the ultrasound, which also defines the depth in the body reached by the ultrasound; higher frequencies provide a shorter range in the body. It is also possible that the ultrasound consisting of small vibrations causes vibration of the stent at high frequency.

The ultrasound generator in a known manner can comprise a number of ultrasound transmitters which are mounted to a spherically concave surface of a carrier of plastic in order to focus the ultrasound beams emitted by

the individual ultrasound transmitters to a common point. At the therapeutic treatment this point is located on the stent or a position in close juxtaposition of the stent.

The stent either the socket 10 with or without  
5 coating, or the cover 11, or both, can be of such nature that some therapeutically active substance is released from the stent at heating or vibration. It is also conceivable that the stent is of such nature that the radial dimension thereof will decrease or increase by heating of the stent.

10 The device according to the invention allows repeated therapeutic treatment of the blood vessel, which preferably is effected during the first six weeks after insertion of the stent. By heating of the stent or by imparting vibration to the stent when energy is supplied to the stent  
15 extra-corporeally it can be prevented that biologic material grows inside the stent, so called sub-intimal hyperplaci, and that cells already formed are removed. Due to the fact that the stent has a heat insulating cover 12 heat energy developed in the stent will be directed inwards  
20 (subintimally) into the stent as indicated by arrows in FIG. 6. Endotel cells will cover the stent and will be present between the blood and the stent. Without a heat insulating cover or if the cover functions as receiver of ultrasound energy the heat energy can also be directed  
25 outwards towards media in the blood vessel in order to retard the migration of smooth muscle cells from media to subendotelial position. Two or more stents can be provided mutually spaced in a blood vessel or a system of blood vessels so that the therapeutical effect will be provided  
30 between the stents along a distance of the blood vessel or the system of blood vessels, respectively.

The socket 11 can be coated with a composition for slow release of a pharmacon which prevents or retards the growth of coating (plaque) on the inside surface of the  
35 socket.

## CLAIMS

1. Device for therapeutic treatment of a blood vessel characterized by the combination of

(a) a radially expandable stent of such nature that  
5 it is brought to vibrate and/or develop heat when exposed to ultrasound,

(b) a balloon catheter for insertion of the stent into the blood vessel and expansion thereof to engagement with the inside surface of the blood vessel in order that  
10 the stent will be left in the expanded condition thereof as an inside lining in the blood vessel after withdrawal of the balloon catheter from the blood vessel, and

(c) a generator for generating ultrasound for wireless transmission of energy from an extra-corporeal  
15 position to the stent at the site thereof in the blood vessel, engaging the inside surface thereof.

2. Device according to claim 1,  
c h a r a c t e r i z e d in that the stent (11) has an acoustic impedance which is substantially in agreement with  
20 the acoustic impedance of body tissue.

3. Device according to claim 1 or 2,  
c h a r a c t e r i z e d in that the stent comprises a socket (11) formed as a netting, axially slotted, or shaped in another way in order to be radially expandable.

25 4. Device according to claim 3,  
c h a r a c t e r i z e d in that the socket (11) is metallic.

5. Device according to claim 3 or 4,  
c h a r a c t e r i z e d in that the socket (11) is  
30 provided with a radially expandable coating (12).

6. Device according to claim 5,  
c h a r a c t e r i z e d in that the coating (12) consists of silicon rubber or graft.

7. Device according to claim 6,  
35 c h a r a c t e r i z e d in that carbon powder is mixed with the coating (12).



8. Device according to claim 3,  
c h a r a c t e r i z e d in that the socket consists of  
plastic.

9. Device according to any of claims 1-8,  
5 c h a r a c t e r i z e d in that a therapeutic substance  
is received by the stent said substance being releasable  
from the stent by heating or vibration thereof.

10. Device according to any of claims 1-8,  
c h a r a c t e r i z e d in that the stent is coated with  
10 a composition for slow release of a pharmacon.

11. Device according to any of claims 1-10,  
c h a r a c t e r i z e d in that the generator comprises  
several ultrasound transmitters mounted to a reflector for  
concentration of the effect transmitted therefrom to a  
15 collection point on the stent or in the vicinity thereof.

1/3

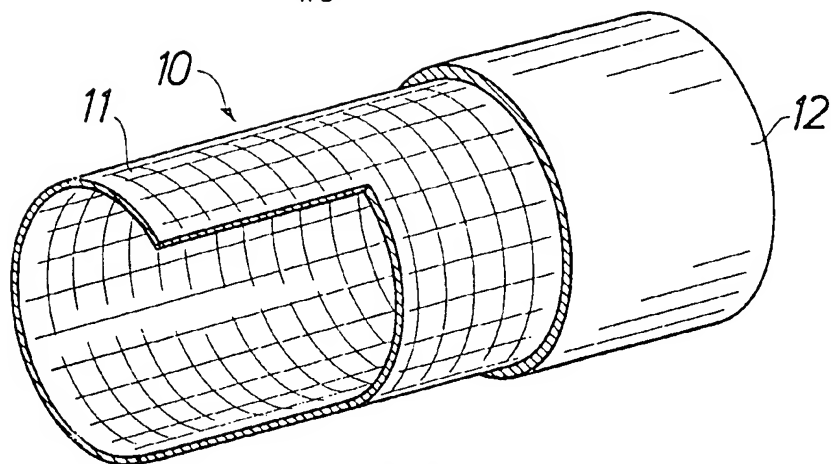


FIG. 1

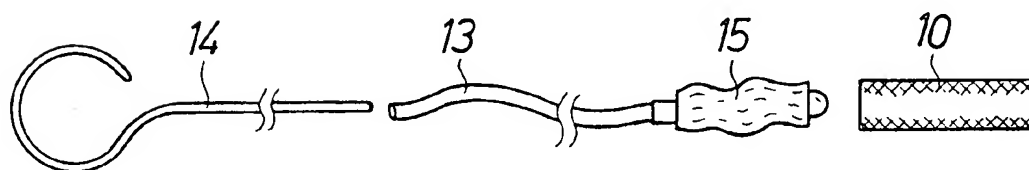


FIG. 2

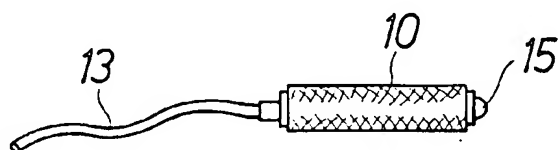


FIG. 3

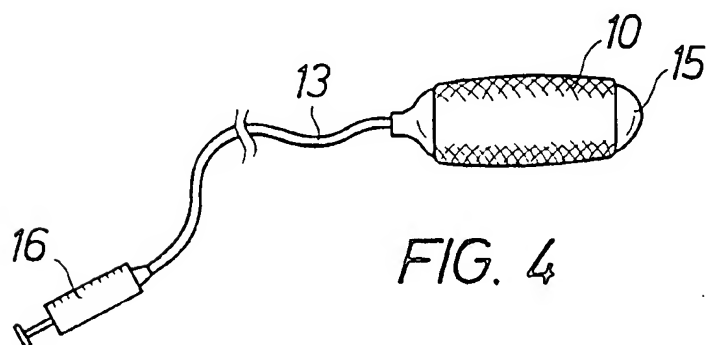


FIG. 4

2/3

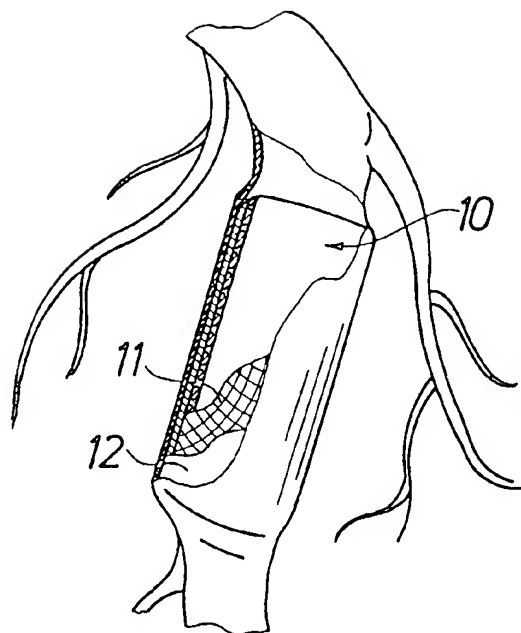


FIG. 5

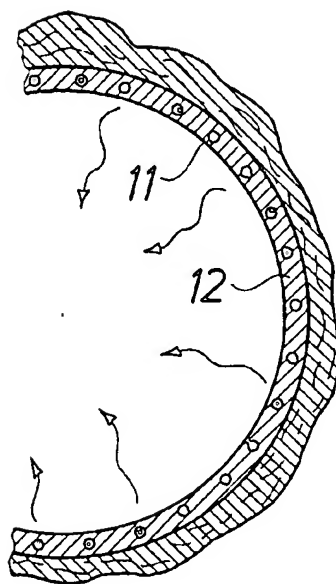


FIG. 6

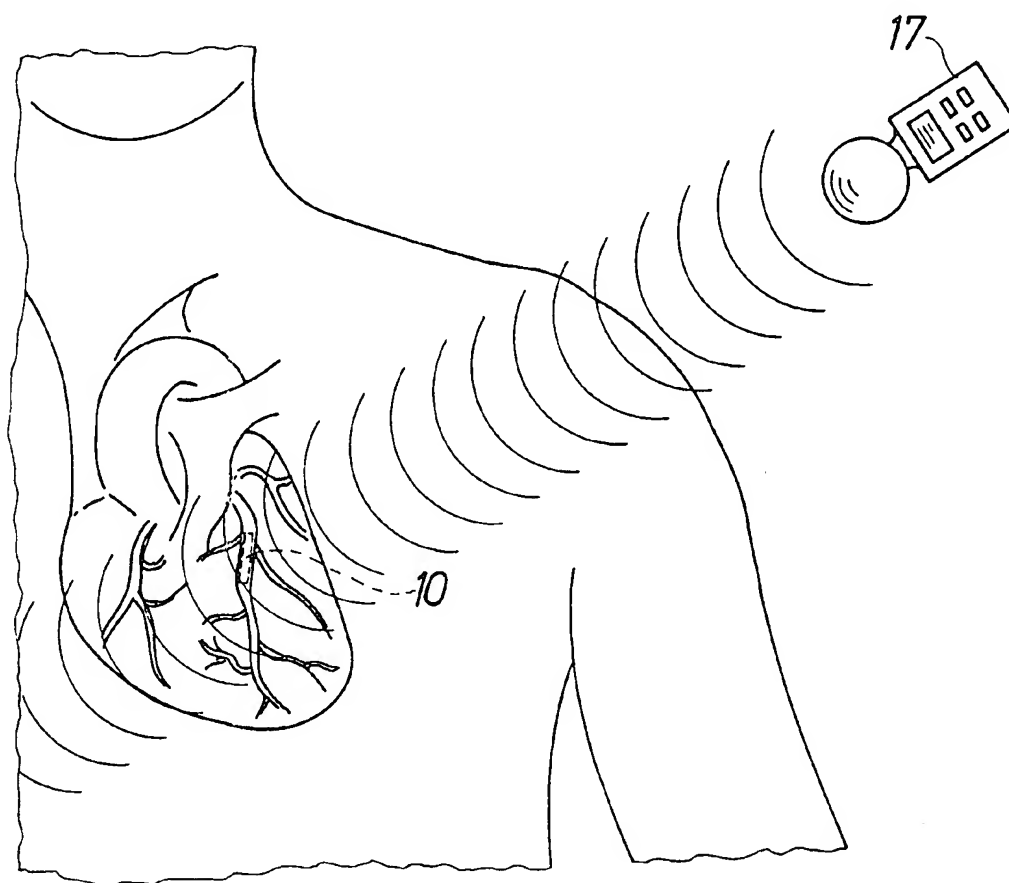


FIG. 7

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 98/02446

## A. CLASSIFICATION OF SUBJECT MATTER

IPC6: A61F 2/06 // A61F 7/12, A61M 31/00  
According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPODOC, WPI

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0761251 A1 (KABUSHIKIKAISHA IGAKI IRYO SEKKEI), 12 March 1997 (12.03.97), figure 1, abstract  --	1,9-10
A	GB 2153235 A (MEADOX MEDICALS INC (USA-NEW JERSEY)), 21 August 1985 (21.08.85), abstract  --	1,9-10
A	US 5078736 A (BEHL), 7 January 1992 (07.01.92), column 6, line 24 - column 7, line 62, figure 5  -- -----	1-8,11

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

## \* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"I" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

16 June 1999

Date of mailing of the international search report

18-06-1999

Name and mailing address of the ISA  
Swedish Patent Office  
Box 5055, S-102 42 STOCKHOLM  
Facsimile No. +46 8 666 02 86

Authorized officer

Leif Brander

Telephone No. +46 8 782 25 00

INTERNATIONAL SEARCH REPORT  
Information on patent family members

01/06/99

International application No.

PCT/SE 98/02446

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0761251 A1	12/03/97	AU 699821 B AU 3674295 A US 5733327 A WO 9611720 A	17/12/98 06/05/96 31/03/98 25/04/96
GB 2153235 A	21/08/85	AU 575617 B AU 3819685 A BE 901611 A CA 1250235 A CH 670380 A,B DE 3503126 A,C FR 2558720 A GB 2187191 A,B GB 2187192 A,B JP 1915610 C JP 6036817 B JP 61092672 A NL 193263 B NL 8500239 A SE 464009 B,C SE 8500422 A US 5197977 A	04/08/88 08/08/85 17/05/85 21/02/89 15/06/89 01/08/85 02/08/85 03/09/87 03/09/87 23/03/95 18/05/94 10/05/86 04/01/99 16/08/85 25/02/91 02/09/85 30/03/93
US 5078736 A	07/01/92	WO 9116864 A	14/11/91